

DOCUMENTARY REQUIREMENTS FOR DNFBPS

Registration with the AMLC. – All DNFBPs shall register with the AMLC via Online Registration System. The scanned copies (in 1 PDF file) of following documents shall be uploaded to the AMLC portal during the online registration process:

1

Most recent Articles of Incorporation and General Information Sheet from the Securities and Exchange Commission (SEC), Certificate of Registration from the Department of Trade and Industry (DTI); or proof of registration with the Cooperative Development Authority (CDA); Real Estate Brokers' Certificate of Registration or License from the Professional Regulation Commission (PRC)

2

Notarized Deeds of Undertaking of the entity, signed by the proprietor/partners/president/directors (attached to the 2021 AML/CTF Guidelines for DNFBPs as Annexes B and C, respectively)

3

Notarized Board resolution or Certificate of designation as Compliance Officer

Note: A Provisional Certificate of Registration (PCOR) shall be issued to the DNFBP upon its successful registration via the AMLC's Online Registration System and submission of the above requirements.